



Information Technology Controls Testing

Overview

PCC was retained by the Internal Audit Director of a leading provider of healthcare products and services to assist with documentation and testing of IT general and integrated controls.

Approach & Methodology

- Reviewed existing control documentation and test plans previously created by another vendor
 - Defined updated approach to execute testing and reported status against testing plan
 - Developed evidence request listing and interview schedules for observation remediation
 - Conducted interview sessions with critical process owners to review evidence requests and verify process documentation accuracy
 - Executed testing of key controls for all business units
 - Identified missing or ineffective controls, prepared updates and modifications to control structures based on testing
 - Identified process improvement opportunities and control modifications to Internal Audit management
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Benefits

PCC documented and tested IT general and integrated controls to meet the guidelines and timing established during the Client's Sarbanes-Oxley compliance effort. PCC enabled the client to meet the timelines for compliance and to realize business savings.
